

REQUEST FOR CHANGE IN SUB-BROKERAGE SLABS

To,
M/s. Guinness Securities Ltd.,
10, Canning Street, 3rd Floor,
Kolkata – 700 001.



Date :

Form A1-EFO

Dear Sir,

Ref: Rem A/c. **REM** _____ in the name of _____ for Branch **B**

I/ We hereby propose you to revise my/ our Sub-brokerage slabs as per the given structure:-

Present Slab					
SEGMENT	DELIVERY		SQUARE OFF		MINIMUM
	CO.SHARE	S.BROKER SHARE	CO.SHARE	S.BROKER SHARE	
NSE & BSE					
FUTURE					
OPTION					

Proposed Slab					
SEGMENT	DELIVERY		SQUARE OFF		MINIMUM
	CO.SHARE	S.BROKER SHARE	CO.SHARE	S.BROKER SHARE	
NSE & BSE					
FUTURE					
OPTION					

Reason for above change*		
Particulars	Present	Proposed
Gross Monthly Avg. Brokerage (Last 12 Months)		
Net Monthly Avg. Brokerage (Last 12 Months)		
Turn over Monthly Avg. (Last 12 Months)		
NO. OF CLIENTS		

**Reason columns should be filled in*

You are hereby requested to revise the brokerage sharing slabs as proposed above.

I further agree that if within three months I fail to achieve the proposed brokerage as above, then the Company may change my brokerage back to the old slab.

Thanking You.

Yours faithfully,

(Authorised Signatory)

FOR BACK OFFICE USE ONLY

Proposal received & approved / rejected

Comments:

If approved then date of effect :

Approved By

A/c Department

Record Keeper

Note:

1. Approved requests received on or after 1st day of the month will not be considered for the calculation of Sub brokerage for the preceding month.
2. Fraction in Minimum Brokerage is not acceptable.