



GUINNESS SECURITIES LTD
GUINNESS HOUSE, 18 DESHAPRIYA PARK ROAD, KOLKATA - 700026

BROKERAGE CHANGE REQUESTION FORM

SR. NO. _____

DATE : _____

NAME OF THE PARTY : _____

CLIENT CODE OF THE PARTY : _____

SEGMENT : _____

INTRODUCER NAME & SIGNATURE : _____

PARTICULARS	PRESENT		PROPOSED	
	NORMAL	MIN	NORMAL	MIN
DELIVERY				
SQ. OFF				
FUTURE/OPTION				

RECOMMENDED BY : _____

DESIGNATION : _____

REASONS FOR PROPOSED CHANGE

(Tick whichever is applicable)

- INCREASE IN TURNOVER
 INCREASE IN BROKERAGE GENERATED
 INTRODUCTION OF SIGNIFICANT NO OF CLIENTS
 OTHERS (PLEASE SPECIFY)

JUSTIFICATION FOR BROKERAGE CHANGE :

PARTICULARS	CURRENT	MONTH AGO	2 MONTHS AGO	3 MONTHS AGO
TURNOVER				
BROKERAGE				
CLIENTS INTRO				

PASSED BY : _____

(Based on above Calculations)

DESIGNATION : _____

FOR OFFICE USE

NEW BROKERAGE EFFECTIVE FROM

FROM DATED :-- _____

SUNIL AGARWAL
ACCOUNTS HEAD